

UNITED STATES ENVIRONMENTAL PROTECTION AGENCY  
REGION 7

UNITED STATES  
ENVIRONMENTAL PROTECTION  
AGENCY-REGION 7  
2014 AUG 12 AM 8:19

IN THE MATTER OF )  
)  
Goldman Enterprises Inc., )  
d/b/a Paul Davis Restoration of Kansas )  
City )  
)  
)  
)  
)  
Respondent )  
)  
\_\_\_\_\_ )

Docket No. TSCA-07-2014-0034

ORDER

Pursuant to 40 C.F.R § 22.5(a)(1), facsimile/electronic filing of page 12 of the Consent Agreement and Final Order is authorized in this proceeding.

Dated: Aug. 12, 2014

Karina Borromeo  
Karina Borromeo  
Regional Judicial Officer

UNITED STATES  
ENVIRONMENTAL PROTECTION  
AGENCY-REGION 7

U. S. ENVIRONMENTAL PROTECTION AGENCY  
REGION 7  
11201 RENNER BOULEVARD  
LENEXA, KANSAS 66219  
BEFORE THE ADMINISTRATOR

2014 AUG 12 AM 8:19

In the Matter of )  
)  
) Docket No. TSCA-07-2014-0034  
)  
Goldman Enterprises Inc., )  
d/b/a Paul Davis Restoration )  
of Kansas City )  
14813 West 95<sup>th</sup> Street )  
Lenexa, KS 66215, )  
)  
Respondent )

**CONSENT AGREEMENT AND FINAL ORDER**

The U.S. Environmental Protection Agency (EPA), Region 7 and Respondent have agreed to a settlement of this action before filing of a Complaint, and thus this action is simultaneously commenced and concluded pursuant to Rules 22.13(b) and 22.18(b)(2) of the Consolidated Rules of Practice Governing the Administrative Assessment of Civil Penalties, Issuance of Compliance or Corrective Action Orders, and the Renovation, Termination or Suspension of Permits (Consolidated Rules), 40 C.F.R. §§ 22.13(b) and 22.18(b)(2).

**Section I**

**Jurisdiction**

1. This proceeding is an administrative action for the assessment of civil penalties instituted pursuant to Section 16(a) of the Toxic Substances Control Act (TSCA), 15 U.S.C. § 2615(a).
2. This Consent Agreement and Final Order (CAFO) serves as notice that EPA has reason to believe that Respondent has violated Section 409 of TSCA, 15 U.S.C. § 2689, by

failing to comply with the regulatory requirements of 40 C.F.R. Part 745, Subpart E, *Lead-Based Paint Renovation, Repair and Painting Rule*, (RRP Rule) promulgated pursuant to 15 U.S.C. §§ 2682, 2686 and 2687.

## **Section II**

### **Parties**

3. The Complainant, by delegation from the Administrator of EPA and the Regional Administrator, EPA, Region 7, is the Chief, Toxics and Pesticides Branch, EPA, Region 7.

4. The Respondent is Goldman Enterprises Inc. d/b/a Paul Davis Restoration of Kansas City, a corporation in good standing under the laws of the state of Kansas.

## **Section III**

### **Statutory and Regulatory Background**

5. Congress passed the Residential Lead-Based Paint Hazard Reduction Act of 1992 (the Act), 42 U.S.C. §§ 4851 to 4856, to address the need to control exposure to lead-based paint hazards. The Act amended TSCA by adding Sections 401 through 412, 15 U.S.C. §§ 2681 through 2692.

6. On June 1, 1998, EPA promulgated information distribution and record keeping requirements codified at 40 C.F.R. Part 745, Subpart E, *Requirements for Hazard Education Before Renovation of Target Housing* (Pre-Renovation Education Rule or PRE Rule) pursuant to 15 U.S.C. § 2686. On April 22, 2008, EPA amended and re-codified the PRE Rule information distribution and recordkeeping requirements and promulgated additional regulations at 40 C.F.R. Part 745, Subpart E, *Lead-Based Paint Renovation, Repair and Painting Rule* (RRP Rule) pursuant to 15 U.S.C. §§ 2682, 2686 and 2687. The regulations aimed to protect the public from lead-based paint hazards associated with renovation, repair and painting activities. Under the

RRP Rule each person or firm who performs for compensation a renovation of target housing or a child-occupied facility must be trained and certified by an EPA accredited training provider to conduct renovation, remodeling and/or painting activities. Firms and individuals performing renovation, repair and painting projects for compensation that disturb lead-based paint must use certified renovators who follow specific work practices to prevent lead contamination. Prior to the start of renovation, the firm or individual performing the renovation must provide the owners and occupants of the target housing units subject to regulated renovation, repair, and/or painting a copy of the U.S. Environmental Protection Agency-approved *Renovate Right: Important Lead Hazard Information for Families, Child Care Providers and Schools* pamphlet, and maintain written acknowledgment that the pamphlet has been provided.

7. Section 402 of TSCA, 15 U.S.C. § 2682, requires that the Administrator of EPA promulgate regulations regarding the activities of individuals and contractors engaged in lead-based paint activities, including renovation of residences built prior to 1978, and regulations for the certification of such individuals and contractors.

8. EPA promulgated regulations regarding lead-based paint activities, including renovation of residences built prior to 1978, and regulations for the certification of individuals and firms who are involved in these activities. These regulations are found within 40 C.F.R. Part 745, Subpart E.

9. The term *target housing* means any housing constructed prior to 1978, except housing for the elderly or persons with disabilities (unless any child who is less than six (6) years of age resides or is expected to reside in such housing) or any zero-bedroom dwelling. 15 U.S.C. § 2681(17).

10. The term *renovation* means the modification of any existing structure, or portion

thereof, that results in the disturbance of painted surfaces, unless that activity is performed as part of an abatement as defined by this part (40 C.F.R. § 745.223). The term renovation includes but is not limited to the following: the removal, modification or repair of painted surfaces or painted components (e.g., modification of painted doors, surface restoration, window repair, surface preparation activity (such as sanding, scraping, or other such activities that may generate paint dust)); the removal of building components (e.g., walls, ceilings, plumbing, windows); weatherization projects (e.g., cutting holes in painted surfaces to install blown-in insulation or to gain access to attics, planning thresholds to install weather stripping), and interim controls that disturb painted surfaces. 40 C.F.R. § 745.83.

11. The term *firm* means a company, partnership, corporation, sole proprietorship or individual doing business, association, or other business entity; a Federal, State Tribal, or local government agency; or a nonprofit organization. 40 C.F.R. § 745.83

12. 40 C.F.R. § 745.89(a)(1) states that firms that perform renovations for compensation must apply to EPA for certification to perform renovations or dust sampling.

13. Pursuant to 40 C.F.R. § 745.89(d)(1), firms performing renovations must ensure that a certified renovator is assigned to each renovation performed by the firm and discharges all of the certified renovator responsibilities identified in 40 C.F.R. § 745.90.

14. The “Work Practice Standards” that must be followed by firms performing renovations on target housing are set forth at 40 C.F.R. § 745.85. The Work Practice Standards include, but are not limited to:

a. Occupant Protection. Firms must post signs clearly defining the work area and warning occupants and other persons not involved in renovation activities to remain outside of the work area. To the extent practicable, these signs must be in the primary language of the

occupants. These signs must be posted before beginning the renovation and must remain in place and readable until the renovation and the post-renovation cleaning verification have been completed. 40 C.F.R. § 745.85(a)(1).

b. Waste from renovation activities must be contained to prevent releases of dust and debris before the waste is removed from the work area for storage or disposal. 40 C.F.R. § 745.85(a)(4)(i).

c. At the conclusion of each work day and at the conclusion of the renovation, waste that has been collected from renovation activities must be stored under containment, in an enclosure, or behind a barrier that prevents release of dust and debris out of the work area and prevents access to dust and debris. 40 C.F.R. § 745.85(a)(4)(ii).

d. *Cleaning the work area.* After the renovation has been completed, the firm must clean the work area until no dust, debris or residue remains. To do this, the firm must: Collect all paint chips and debris and, without dispersing any of it, seal this material in a heavy-duty bag. 40 C.F.R. § 745.85(a)(5)(i)(A); and, if it is an interior renovation, The firm must clean all objects and surfaces in the work area and within 2 feet of the work area. 40 C.F.R. § 745.85(a)(5)(ii).

15. Failure to comply with any provision of 40 C.F.R. Part 745, Subpart E (RRP Rule) violates Section 409 of TSCA, 15 U.S.C. § 2689, which may subject the violator to administrative penalties under Section 16(a) of TSCA, 15 U.S.C. § 2615(a) and 40 C.F.R. § 745.87(d).

16. Section 16(a) of TSCA, 42 U.S.C. § 2615, and 40 C.F.R. § 745.87(d), authorize the EPA Administrator to assess a civil penalty of up to \$25,000 for each violation of Section 409 of TSCA. Each day that such a violation continues constitutes a separate violation of Section

15 of TSCA, 15 U.S.C. § 2614. The Debt Collection Improvement Act of 1996, 31 U.S.C. § 3701, and its implementing regulations at 40 C.F.R. Part 19, increased these statutory maximum penalties to \$37,500.

#### **Section IV**

##### **General Factual Allegations**

17. Respondent is, and at all times referred to herein was, a “firm” and a “person” within the meaning of TSCA.

18. Respondent is a “renovator” who performs “renovations” “for compensation”, as those terms are used within the meaning of TSCA.

19. On October 22, 2012, pursuant to its authority under Section 11 of TSCA, 15 U.S.C. § 2610, a representative of the United States Environmental Protection Agency, Region 7 conducted an inspection of the property located at 4415 E 43<sup>rd</sup> Street in Kansas City, Missouri (hereinafter, “the Property”), where Respondent was in the process of conducting “renovations”, as defined by C.F.R. § 745.83, for compensation.

20. The Property was constructed before 1978.

21. The Property is “target housing” as defined by 40 C.F.R. § 745.103.

22. At the time of the EPA inspection, the Respondent had not complied with some the Work Practice Standards set forth in 40 C.F.R. § 745.85. The specific lack of compliance is set forth below.

##### **Violations**

23. The Complainant hereby states and alleges that Respondent has violated TSCA and federal regulations promulgated thereunder, as follows:

**Count 1**

24. The facts stated in Paragraphs 1 through 23 above are herein incorporated.

25. At the time of the EPA inspection it was discovered that Respondent failed to keep the required warning signs posted in place and readable until the renovation and the post-renovation cleaning verification have been completed, which is a violation of 40 C.F.R. § 745.85(a)(1).

26. Respondent's failure to perform the act described above is a violation of Section 409 of TSCA, 15 U.S.C. § 2689, and thus Respondent is subject to civil penalties under Section 16 of TSCA, 15 U.S.C. § 2615.

**Count 2**

27. The facts stated in Paragraphs 1 through 26 above are herein incorporated.

28. At the time of the EPA inspection it was discovered that Respondent failed to comply with the following renovation work practice standard – contain waste from renovation activities in a manner that prevents releases of dust and debris before the waste is removed from the work area for storage or disposal – in violation of 40 C.F.R. § 745.85(a)(4)(i).

29. Respondent's failure to perform the act described above is a violation of Section 409 of TSCA, 15 U.S.C. § 2689, and thus Respondent is subject to civil penalties under Section 16 of TSCA, 15 U.S.C. § 2615.

**Count 3**

30. The facts stated in Paragraphs 1 through 29 above are herein incorporated.

31. At the time of the EPA inspection it was discovered that Respondent failed to comply with the following renovation work practice standard – store waste that has been collected from renovation activities under containment, in an enclosure, or behind a barrier that



prevents release of dust and debris out of the work area and prevents access to dust and debris – in violation of 40 C.F.R. § 745.85(a)(4)(ii).

32. Respondent's failure to perform the act described above is a violation of Section 409 of TSCA, 15 U.S.C. § 2689, and thus Respondent is subject to civil penalties under Section 16 of TSCA, 15 U.S.C. § 2615.

**Count 4**

33. The facts stated in Paragraphs 1 through 32 above are herein incorporated.

34. At the time of the EPA inspection it was discovered that Respondent failed to comply with the following renovation work practice standard – after the renovation was complete, to collect all paint chips and debris and, without dispersing any of it, seal this material in a heavy-duty bag– in violation of 40 C.F.R. § 745.85(a)(5)(i)(A).

35. Respondent's failure to perform the act described above is a violation of Section 409 of TSCA, 15 U.S.C. § 2689, and thus Respondent is subject to civil penalties under Section 16 of TSCA, 15 U.S.C. § 2615.

**Count 5**

36. The facts stated in Paragraphs 1 through 34 above are herein incorporated.

37. At the time of the EPA inspection it was discovered that Respondent failed to comply with the following renovation work practice standard – after the interior renovation was complete, to clean all objects and surfaces in the work area and within 2 feet of the work area – in violation of 40 C.F.R. § 745.85(a)(5)(ii).

38. Respondent's failure to perform the act described above is a violation of Section 409 of TSCA, 15 U.S.C. § 2689, and thus Respondent is subject to civil penalties under Section 16 of TSCA, 15 U.S.C. § 2615.

**Section V**

**Consent Agreement**

1. For purposes of this proceeding, Respondent admits the jurisdictional allegations set forth above.

2. Respondent neither admits nor denies the factual allegations set forth above.

3. Respondent waives its right to contest any issue of fact or law set forth above and its right to appeal the Final Order accompanying this Consent Agreement.

4. Respondent and EPA agree to conciliate this matter without the necessity of a formal hearing and to bear their respective costs and attorney's fees.

5. Respondent certifies by the signing of this CAFO that it is presently in compliance with all requirements of 40 C.F.R. Part 745, Subpart E.

6. Respondent consents to the issuance of the Final Order hereinafter recited and consents to the payment of a civil penalty as specified in the Final Order.

7. The effect of settlement described in this CAFO is conditioned upon the accuracy of Respondent's representations to EPA, as memorialized in this CAFO.

8. Respondent agrees that, in settlement of the claims alleged in this CAFO, Respondent shall pay a mitigated penalty of Sixteen Thousand Seven Hundred Ten Dollars (\$16,710) as set forth in Paragraph 1 of the Final Order. Payment of this civil penalty in full shall resolve all civil and administrative claims for all violations of Section 409 of TSCA, 15 U.S.C. 2689 and 40 C.F.R. Subpart E alleged in this document.

9. Respondent understands that its failure to timely pay any portion of the civil penalty described in Paragraph 1 of the Final Order below may result in the commencement of a civil action in Federal District Court to recover the full remaining balance, along with penalties and

accumulated interest. In such case, interest shall accrue thereon at the applicable statutory rate on the unpaid balance until such civil penalty and any accrued interest are paid in full. A late payment handling charge of \$15 will be imposed after thirty (30) days and an additional \$15 will be charge for each subsequent thirty (30) day period. Additionally, as provided by 31 U.S.C. § 3717(e)(2), a six percent (6%) per annum penalty (late charge) may be assessed on any amount not paid within ninety (90) days of the due date.

## **Section VI**

### **Final Order**

Pursuant to the provisions of the Toxic Substances Control Act (TSCA), 15 U.S.C. §§ 2601-2692, and based upon the information set forth in the Consent Agreement accompanying this Final Order, **IT IS HEREBY ORDERED THAT:**

1. Respondent shall pay a civil penalty of Sixteen Thousand Seven Hundred Ten Dollars (\$16,710) in accordance with the payment schedule set forth in Attachment 1 to this CAFO. Such payment shall identify Respondent by name and docket number and shall be by certified or cashier's check made payable to the "United States Treasury" and sent to:

US Environmental Protection Agency  
Fines and Penalties  
Cincinnati Finance Center  
PO Box 979077  
St. Louis, MO 63197-9000

Wire transfers should be directed to the Federal Reserve Bank of New York:

Federal Reserve Bank of New York  
ABA = 021030004  
Account = 68010727  
SWIFT address = FRNYUS33  
33 Liberty Street  
New York, NY 10045  
Field Tag 4200 of the Fedwire message should read  
"D 68010727 Environmental Protection Agency"

2. A copy of the check or other information confirming payment shall simultaneously be sent to the following:

Regional Hearing Clerk  
U.S. Environmental Protection Agency, Region 7  
11201 Renner Boulevard  
Lenexa, Kansas 66219; and

Raymond C. Bosch, Attorney  
Office of Regional Counsel  
U.S. Environmental Protection Agency, Region 7  
11201 Renner Boulevard  
Lenexa, Kansas 66219.

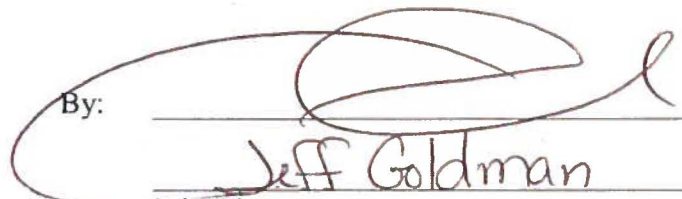
3. Respondent and Complainant shall each bear their own costs and attorneys' fees incurred as a result of this matter.

This CAFO shall be effective upon the filing of the Final Order by the Regional Hearing Clerk for EPA, Region 7. Unless otherwise stated, all time periods stated herein shall be calculated in calendar days from such date.

**RESPONDENT**

**Goldman Enterprises Inc., d/b/a Paul Davis Restoration of Kansas City**

Date: 7/15/14

By:   
Jeff Goldman  
Print Name

**COMPLAINANT**  
**U. S. ENVIRONMENTAL PROTECTION AGENCY**

Date: 8-11-2014

Jamie Green  
Jamie Green, Chief  
Toxics and Pesticides Branch  
Water, Wetlands, and Pesticides Division

Date: August 11, 2014

Raymond C. Bosch  
Raymond C. Bosch  
Office of Regional Counsel

**IT IS SO ORDERED.**

Date: 8-12-14

Karina Borromeo

Karina Borromeo  
Regional Judicial Officer  
U.S. Environmental Protection Agency

Goldman Enterprises, Inc. d/b/a Paul Davis Restoration of Kansas City  
TSCA-07-2014-0034

Rate Period: Quarterly

Nominal Annual Rate: 1%

Event	Start Date	Amount	Number	Period	End Date	
Penalty		16,710.00				
Payments	09/01/2014	2,107.05	8	Quarterly	06/01/2016	
	Date	Penalty	Payment	Interest	Principal	Balance
Payment	09/01/2014		2,107.05	0.00	2,107.05	14,602.95
Payment	12/01/2014		2,107.05	36.51	2,070.54	12,532.41
<b>2014 Totals</b>			<b>4,214.10</b>	<b>36.51</b>	<b>4,177.59</b>	
Payment	03/01/2015		2,107.05	31.33	2,075.72	10,456.69
Payment	06/01/2015		2,107.05	26.14	2,080.91	8,375.78
Payment	09/01/2015		2,107.05	20.94	2,086.11	6,289.67
Payment	12/01/2015		2,107.05	15.72	2,091.33	4,198.34
<b>2015 Totals</b>			<b>8,428.20</b>	<b>94.13</b>	<b>8,334.07</b>	
Payment	03/01/2016		2,107.05	10.50	2,096.55	2,101.79
Payment	06/01/2016		2,107.05	5.26	2,101.79	0.00
<b>2016 Totals</b>			<b>4,214.10</b>	<b>15.76</b>	<b>4,198.34</b>	
<b>Grand Totals</b>		<b>16,710.00</b>	<b>16,856.40</b>	<b>146.40</b>	<b>16,710.00</b>	

AMORTIZATION SCHEDULE - U.S. Rule (no compounding), 360 Day Year  
Last interest amount increased by 0.01 due to rounding.



IN THE MATTER OF Goldman Enterprises Inc., d/b/a Paul Davis Restoration of Kansas City,  
Respondent  
Docket No. TSCA-07-2014-0034

CERTIFICATE OF SERVICE

I certify that a true and correct copy of the foregoing Order was sent this day in the following manner to the addressees:

Copy by email to Attorney for Complainant:

[bosch.raymond@epa.gov](mailto:bosch.raymond@epa.gov)

Copy by First Class Mail to:

Jeff Goldman  
Goldman Enterprises Inc.  
d/b/a Paul Davis Restoration of  
Kansas City  
14813 West 95<sup>th</sup> Street  
Lenexa, Kansas 66215

Dated: 8/12/14



Kathy Robinson  
Hearing Clerk, Region 7